

SCOTTISH BORDERS COUNCIL

ACTION SHEET MASTER COPY

AUDIT and SCRUTINY COMMITTEE 2021/22

Notes:-

1. Paragraphs Marked with a * require full Council approval before action can be taken
2. Items for which no actions are required are not included

TITLE	DECISION REQUIRING ACTION	DIRECTORATE/ SECTION	RESPONSIBLE OFFICER	STATUS
23 November 2020				
Internal Audit Work to October 2020	AGREED to request that the Executive Director Finance & Regulatory write to the Chief Executive NHS Borders to encourage sign-off at the earliest opportunity of the SLA relating to the Community Equipment Service.	Finance & Regulatory	David Robertson	In progress. Budget agreed by NHS Borders and SBC.
10 May 2021				
Minute 8 March 2021	AGREED to add Treasury Management refresh training on 22 November 2021 to the Action Tracker.	Finance & Regulatory	David Robertson	In progress; 22 November 2021 agreed with Treasury Management Advisor
Internal Audit Work to March 2021	AGREED that, in respect of the Learning Disabilities Service Financial Management recommendation, an update with detail of progress be presented to the Audit and Scrutiny Committee in four months' time.	Learning Disabilities Service	Simon Burt	20 September 2021 proposed for update on progress
Internal Audit Annual Assurance Report 2020/21	AGREED that an ICT Cyber security update report be brought back to the Audit and Scrutiny Committee.	Finance & Regulatory	David Robertson	Verbal update on progress with ICT Cyber Security check at 20 September 2021
Counter Fraud Annual Report 2020/21	AGREED TO RECOMMEND to Council that as the Counter Fraud Officer post has been vacant since December 2020 that the Chief Executive takes the appropriate urgent action to fill this role.	Audit & Risk	Jill Stacey	A&SC 29 June 2021 Action Tracker: Chief Officer Audit & Risk explained proposal under development. A&SC Agreed the revised approach to delivering the counter fraud function and that an update be provided to the Committee on 22 November 2021.
Annual Assurance Statement 2020/21 to the Scottish Housing Regulator	AGREED that an update report be presented to Audit and Scrutiny Committee outlining progress in the areas set out in Appendix B to the report in six months' time.	Customer & Communities	Jenni Craig	22 November 2021 proposed for Update report on progress

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29 June 2021				
Unaudited Report and Accounts 2020/21 for Scottish Borders Council	<p>AGREED that in respect of the three re-organised trusts: SBC Welfare Trust; SBC Education Trust; and SBC Community Enhancement Trust:</p> <p>(i) to express concern at the lack of significant activity in terms of their promotion and dispersal of funding;</p> <p>(ii) to ask officers to review the operation and governance of these Trusts with a view to better publicising and promoting their aims and objectives;</p> <p>(iii) to ask the Service Director for Young People Engagement & Inclusion to engage with Finance staff to facilitate the consolidation of the remaining SBC Charity Funds; and</p> <p>(iv) to ask the Chief Executive to present a follow-up report on these funds to the Executive Committee within the next 3 to 6 months.</p>	<p>Resilient Communities</p> <p>Education & Lifelong Learning</p> <p>Chief Executive</p>	<p>Jenni Craig</p> <p>Lesley Munro</p> <p>Netta Meadows</p>	In progress