



Minutes of a meeting of the Scottish Borders Health and Social Care Integration Joint Board Audit Committee held on Monday, 17 December 2018 at 10.15 am in Committee Room 2, Scottish Borders Council, Newtown St Boswells.

Present: Cllr T Weatherston (Chair)
Mr A Clark
Mr M Dickson

In Attendance: Mr A Haseeb
Ms S Holmes
Mr M Porteous
Ms J Stacey

1. Announcements and Apologies

Apologies had been received from Councillor J Greenwell, Ms I Bishop, Mr R McCulloch-Graham and Mr J Raine.

The Chair welcomed Mr Clark to his first meeting and there was a round of introductions.

2. Declaration of Interest

The Chair sought any verbal declarations of interest pertaining to items on the agenda.

The **SCOTTISH BORDERS HEALTH & SOCIAL CARE INTEGRATION JOINT BOARD AUDIT COMMITTEE** noted there were none.

3. Minute of Previous Meeting

The **SCOTTISH BORDERS HEALTH & SOCIAL CARE INTEGRATION JOINT BOARD AUDIT COMMITTEE** minutes of 17 September 2018 were approved.

4. Matters Arising

No matters were raised.

The **SCOTTISH BORDERS HEALTH & SOCIAL CARE INTEGRATION JOINT BOARD AUDIT COMMITTEE** noted no matters were raised.

5. Strategic Risk Register

There had been circulated copies of a report by the IJB Chief Internal Auditor, Ms Stacey, providing Members of the IJB Audit Committee with the IJB Strategic Risk Register for scrutiny prior to its presentation to the full Board. The report detailed that Internal Audit

had recommended that IJB strategic risks were considered and reviewed regularly at IJB meetings and that risk management deliberations associated with IJB decision making should be clearly documented.

In response to a question Mr Dickson was advised that he could access previous committee papers via Modern.Gov website including the IJB Risk Management Strategy. Mr Dickson explained that although the Health Board Audit Committee considered the process and ensured good practice had been followed; risk was reviewed by the Full Board, who also required mitigating actions, and this ought to be the practice adopted by the IJB.

The SCOTTISH BORDERS HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD AUDIT COMMITTEE agreed:-

- (a) To recommend the IJB Strategic Risk Register for approval by the full Board of the IJB;**
- (b) To receive a review of the IJB Strategic Risk Register on at least an annual basis; and**
- (c) To request that the IJB Full Board review the IJB Strategic Risk Register on a six monthly basis.**

6. Progress on Implementation of Internal Audit Recommendations

There had been circulated copies of a report by the IJB Chief Internal Auditor, Ms Stacey, updating the IJB Audit Committee on the status of the implementation of Management recommendations made and agreed in the Internal Audit Annual Assurance Report 2017/18 for the Scottish Borders Health and Social Care Integration Joint Board. The Appendix, attached to the report, detailed the six internal Audit recommendations. The Committee members noted that three of the actions had been completed and that good progress was being made on the remaining three. However, it was noted that two of these would take longer than originally anticipated to implement and Management had requested extensions to due dates. In response to questions it was confirmed to the Committee that if there were any exceptions in terms of implementation dates these would be notified to the Committee. Ms Stacey added that, in terms of 2018/19 Internal Audit work, the follow-up on completion of recommendations would continue and any new Internal Audit actions would be added to the Action Plan going forward to ensure actions demonstrated continuing improvement; this update would be included within the IJB Internal Audit Annual Assurance Report 2018/19 which was scheduled for presentation to the IJB Audit Committee on 13 May 2019.

The SCOTTISH BORDERS HEALTH AND SOCIAL CARE INTEGRATION JOINT AUDIT COMMITTEE agreed:-

- (a) To acknowledge the progress made by Management in implementing Internal Audit recommendations;**
- (b) To the proposed extension to due dates by Management for those actions that were unlikely to achieve their original due date; and**
- (c) That if there was any exception to progress in terms of implementation dates this would be brought to the attention of the IJB Audit Committee.**

7. Accounts Commission Report November 2018: Health and Social Care Integration Update on Progress.

There had been circulated copies of a report by the Chief Internal Auditor, bringing to the attention of Members of the IJB Audit Committee, a recent report by the Accounts Commission carried out by Audit Scotland on the progress with integration 'Health and Social Care Integration – An Update on Progress'. The Board noted that the report had highlighted the need for a stronger commitment to collaborative working and meaningful engagement with staff, communities and other stakeholders. The report was also critical of financial planning arrangements. In response to questions, Ms Stacey advised that she would recommend the report to a future meeting of the full Board and it was acknowledged that the case studies could be useful in terms of evaluating lessons learned and determining appropriate actions for the Scottish Borders IJB. Regarding the six national indicators, it was noted that social care performance was monitored through the Council's quarterly performance report to Executive Committee though these were not necessarily being fed through the national reporting process. Mr Porteous suggested that measuring the impact of community services needed to be improved. It was considered that the IJB should further develop its performance management framework.

The SCOTTISH BORDERS HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD'S AUDIT COMMITTEE:

- (a) Noted the publication by Accounts Commission carried out by Audit Scotland on the progress with integration 'Health and Social Care Integration – An Update on Progress'.**
- (b) Agreed the proposal to report to a future meeting of IJB any lessons and actions required within Scottish Borders Health and Social Care IJB as a result of the report.**

8. Local Code of Corporate Governance – Annual Review 2018/19

There had been circulated copies of a report by the IJB Chief Internal Auditor submitting proposals to the IJB Audit Committee for endorsement to enable IJB Members to be involved in the annual review 2018/19 of the IJB's Local Code of Corporate Governance. Ms Stacey advised that the IJB Audit Committee had recommended on 25 June 2018 that the revised Code arising from the 2017/18 annual review be approved by the IJB; the latter was done at the IJB meeting on 17 September 2018. The Code provided the framework for the internal controls, risk management and other governance arrangements for delivering health and social care integration in the Scottish Borders. In response to a question from Mr Dickson, Ms Stacey advised that a Code of Governance familiarisation session would be held in early 2019, for IJB members to be involved in the 2018/19 annual review.

The SCOTTISH BORDERS HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD AUDIT COMMITTEE agreed that separate sessions be held in early 2019 to enable IJB Members to be involved in the annual review 2018/19 of the IJB's Local Code of Corporate Governance.

9. Any Other Business

Mr Dickson advised that NHS Borders' internal auditors, PwC, had recently audited unscheduled care flow. Their report had been well received by NHS Borders Audit Committee with areas of good practice identified with one process that required tightening up, which was underway. In terms of waiting times, Mr Dickson advised that NHS Borders was outperforming the Scottish average.

Ms Stacey advised that the three Audit Committee Chairs had met and agreed to avoid duplication of assurance reporting by being aware of and placing reliance on assurance reports received by the respective audit committees of NHS Borders, Scottish Borders Council and IJB. The Chairs had also acknowledged the need to respect different roles and responsibilities across the partnership. There would be a further meeting of the Chairs in April 2019 when they would consider audit plans for their respective organisations which would enable areas of risk to be cited going forward.

The SCOTTISH BORDERS HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD AUDIT COMMITTEE noted the reports.

10. Date of Time of Next Meeting

The next meeting was scheduled to be held on Monday, 11 February 2019 at 2.00 pm in the Council Chamber, Scottish Borders Council.

Meeting concluded at 11.05 am

Signature:
Chair