

A MEETING of the AUDIT AND SCRUTINY COMMITTEE will be held in the COUNCIL CHAMBER, COUNCIL HEADQUARTERS, NEWTOWN ST BOSWELLS on MONDAY, 11 MARCH 2019 at 10.00 am

J. J. WILKINSON,  
Clerk to the Council,

5 March 2019

<b>BUSINESS</b>		
1.	<b>Apologies for Absence.</b>	
2.	<b>Order of Business.</b>	
3.	<b>Declarations of Interest.</b>	
<b>SCRUTINY BUSINESS</b>		
4.	<b>Nursery Place Discrimination in Peebles 2019 Petition</b>	30 mins
	(a) <b>Petition Procedure</b> (Pages 5 - 8) (Copy attached).	
	(b) <b>Petition</b> (Pages 9 - 12) (Copy attached).	
	(c) <b>Briefing by Chief Officer for Education</b> (Pages 13 - 14) (Copy attached).	
5.	<b>Any Other Scrutiny Items Previously Circulated.</b>	
6.	<b>Any Other Scrutiny Items which the Chairman Decides are Urgent.</b>	
<b>AUDIT &amp; SCRUTINY BUSINESS</b>		
7.	<b>Minute.</b> (Pages 15 - 20)  Minute of Meeting of the Audit and Scrutiny Committee held on 14 February 2019 to be approved and signed by the Chairman. (Copy attached).	5 mins
8.	<b>Action Tracker</b> (Pages 21 - 22)	5 mins

	To note progress made on the Action Tracker. (Copy attached).	
	<b>AUDIT BUSINESS</b>	
9.	<b>Minute</b> (Pages 23 - 24)  Minute of Meeting of the Special Audit and Scrutiny Committee held on 26 February 2019 to be approved and signed by the Chairman. (Copy attached).	5 mins
10.	<b>Internal Audit Work to February 2019</b> (Pages 25 - 36)  Consider a report by Chief Officer Audit & Risk on findings from recent work carried out by Internal Audit, including the recommended audit actions agreed by Management to improve internal controls and governance arrangements, and Internal Audit work currently in progress. (Copy attached).	15 mins
11.	<b>Scottish Borders Council External Audit Plan for 2018/19</b> (Pages 37 - 52)  Consider overview report and plan by Audit Scotland on how they will deliver their external audit for Scottish Borders Council for the year ended 31 March 2019. (Copy attached).	20 mins
12.	<b>Scottish Borders Pension Fund External Audit Plan for 2018/19</b> (Pages 53 - 66)  Consider overview report and plan by Audit Scotland on how they will deliver their audit for Scottish Borders Pension Fund for the year ended 31 March 2019 including the opinions on the financial statements. (Copy attached).	15 mins
13.	<b>Internal Audit Charter</b> (Pages 67 - 74)  Consider a report by Chief Officer Audit & Risk on the updated Internal Audit Charter for approval that defines the terms of reference for the Internal Audit function to carry out its role and to enable the Chief Officer Audit & Risk to prepare an annual internal audit opinion on the adequacy of the Council's overall control environment. (Copy attached).	10 mins
14.	<b>Internal Audit Strategy and Internal Annual Plan 2019/20</b> (Pages 75 - 86)  Consider a report by Chief Officer Audit & Risk on proposed strategic direction to deliver Internal Audit assurance and support services to the Council, the Pension Fund, SB Cares and the Health and Social Care Integration Joint Board, and on proposed Internal Audit programme of work 2019/20 to enable preparation of the annual opinions on the adequacy of each organisation's overall control environment. (Copies attached).	20 mins
15.	<b>Any Other Audit Items Previously Circulated.</b>	
16.	<b>Any Other Audit Items which the Chairman Decides are Urgent.</b>	

## NOTES

1. **Timings given above are only indicative and not intended to inhibit Members' discussions.**
2. **Members are reminded that, if they have a pecuniary or non-pecuniary interest in any item of business coming before the meeting, that interest should be declared prior to commencement of discussion on that item. Such declaration will be recorded in the Minute of the meeting.**

---

**Membership of Committee:-** Councillors S. Bell (Chairman), H. Anderson, K. Chapman, J. A. Fullarton, S. Hamilton (Vice-Chairman), N. Richards, H. Scott, E. Thornton-Nicol, S. Scott, M Middlemiss and Barnett

---

Please direct any enquiries to Eileen Graham 01835 826585  
Email: [eegraham@scotborders.gov.uk](mailto:eegraham@scotborders.gov.uk)

---